

Automatically Comparing Memory Consistency Models

John Wickerson

Imperial

Mark Batty

U Kent

Tyler Sorensen

Imperial

George A. Constantinides

Imperial

S-REPLS @ Imperial
Tuesday 27 September 2016

Contents

- Context: memory consistency models (MCMs)
- Where our work fits in
- Key Ideas
- Applications

Contents

- ➔ Context: memory consistency models (MCMs)
 - Where our work fits in
 - Key Ideas
 - Applications

Relaxed-memory behaviours

```
        x = y = 0;  
x = 1;  || y = 1;  
r0 = y; || r1 = x;
```

Relaxed-memory behaviours

```
        x = y = 0;  
x = 1;  ||  
r0 = y; || y = 1;  
        || r1 = x;
```



```
r0 = 0, r1 = 1
```

Relaxed-memory behaviours

```
          x = y = 0;  
x = 1;   ||  
          y = 1;  
r0 = y;  ||  
          r1 = x;
```

r0 = 0, r1 = 1



r0 = 1, r1 = 1

Relaxed-memory behaviours

```
      x = y = 0;  
      ||  
      y = 1;  
      r1 = x;  
x = 1;  
r0 = y;
```

r0 = 0, r1 = 1

r0 = 1, r1 = 1



r0 = 1, r1 = 0

Relaxed-memory behaviours

```
        x = y = 0;  
x = 1;  || y = 1;  
r0 = y; || r1 = x;
```



```
r0 = 0, r1 = 0
```

```
r0 = 0, r1 = 1
```

```
r0 = 1, r1 = 1
```

```
r0 = 1, r1 = 0
```

Much confusion!

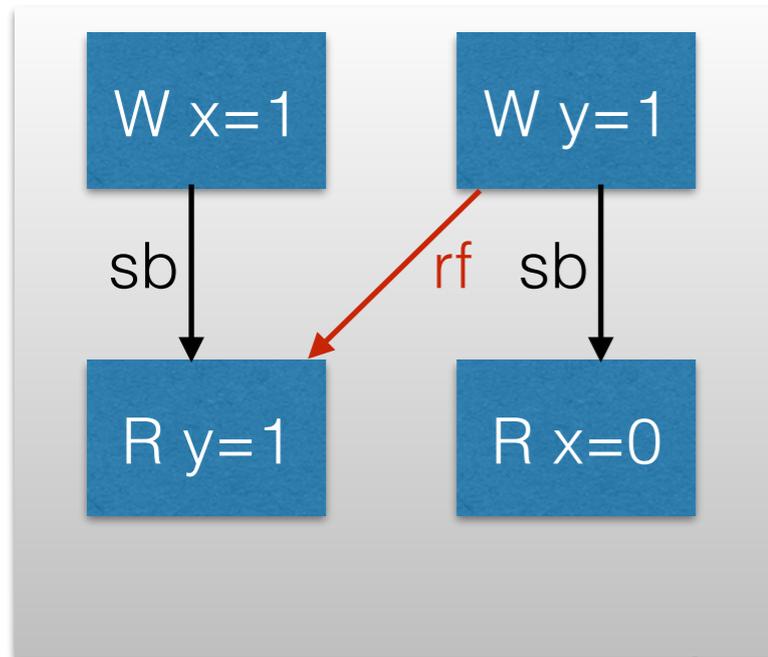
Subtleties related to relaxed memory have led to bugs in...

- **programming language specifications**
[Batty+ POPL'11, Batty+ ESOP'13],
- **deployed processors** [Alglave+ CAV'10];
- **compilers** [Morisset+ PLDI'13, Sevcik+ ECOOP'08], and
- **vendor-endorsed programming guides**
[Alglave+ ASPLOS'15].

Axiomatic models

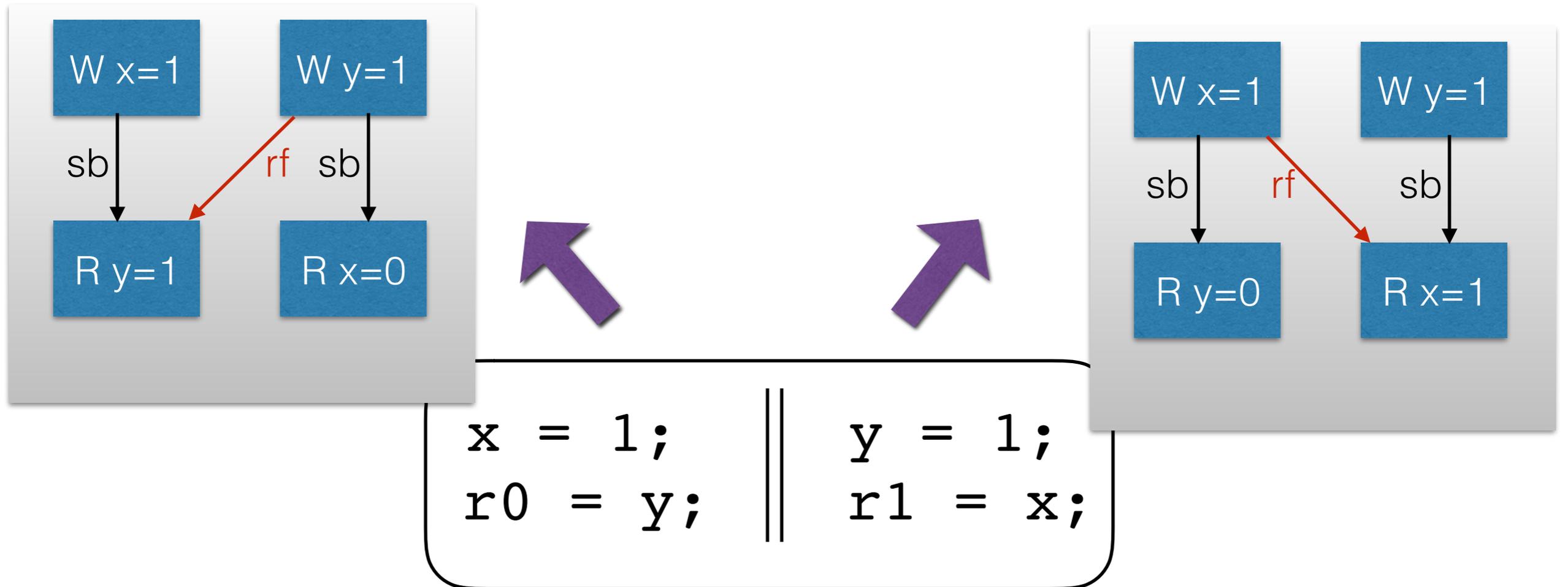
$$\begin{array}{l|l} \mathbf{x} = 1; & \mathbf{y} = 1; \\ \mathbf{r0} = \mathbf{y}; & \mathbf{r1} = \mathbf{x}; \end{array}$$

Axiomatic models

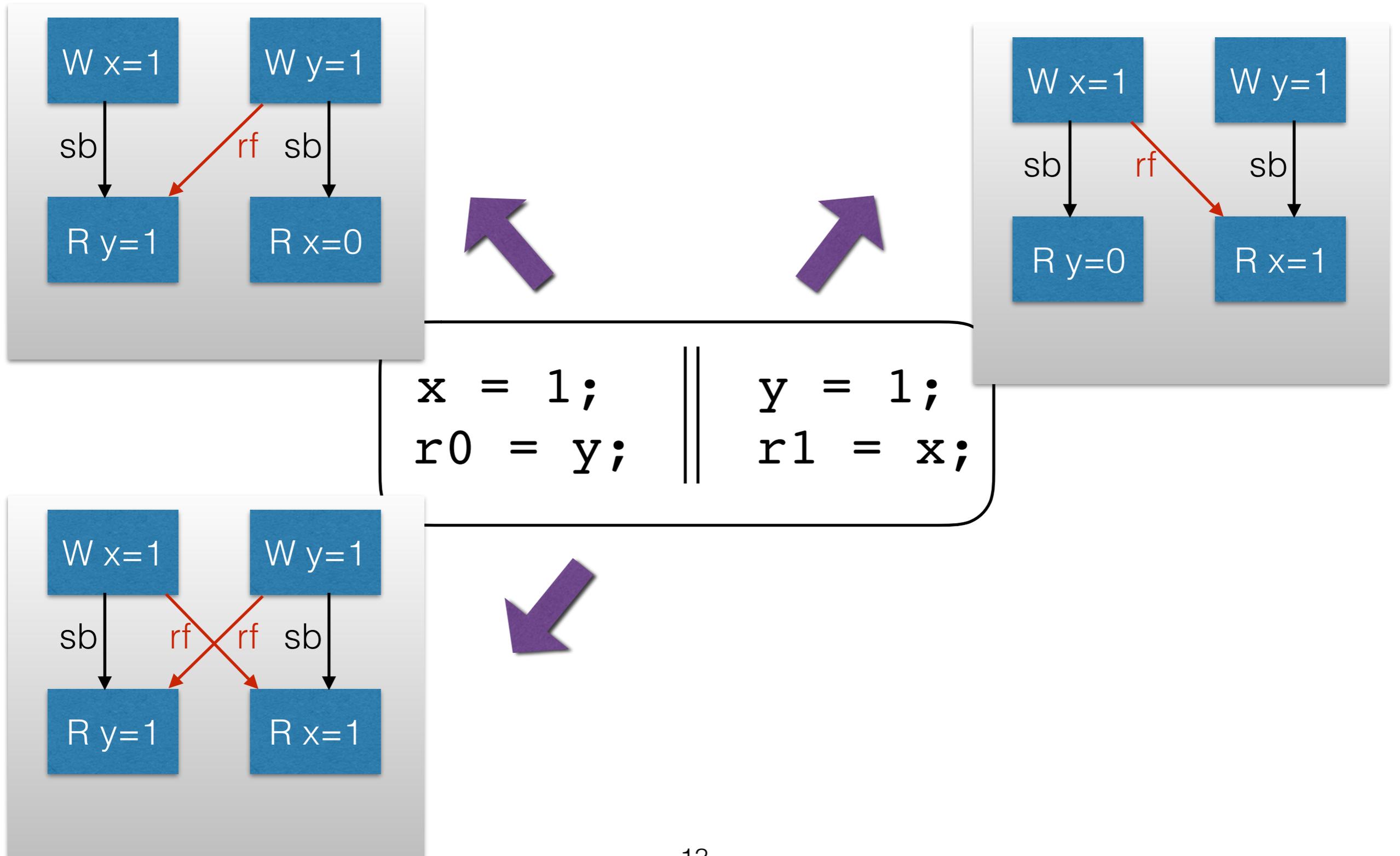


```
x = 1;      ||      y = 1;  
r0 = y;     ||      r1 = x;
```

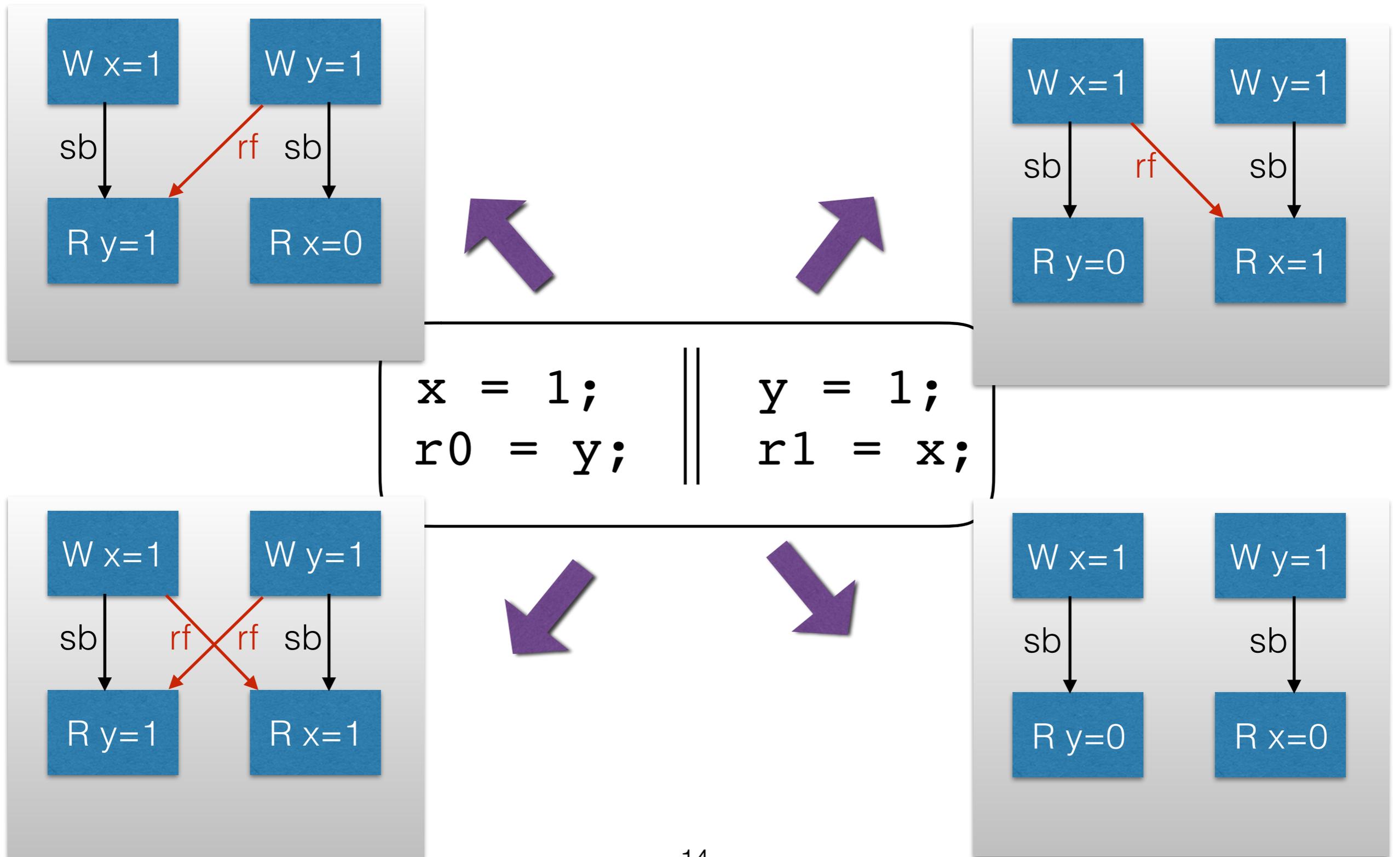
Axiomatic models



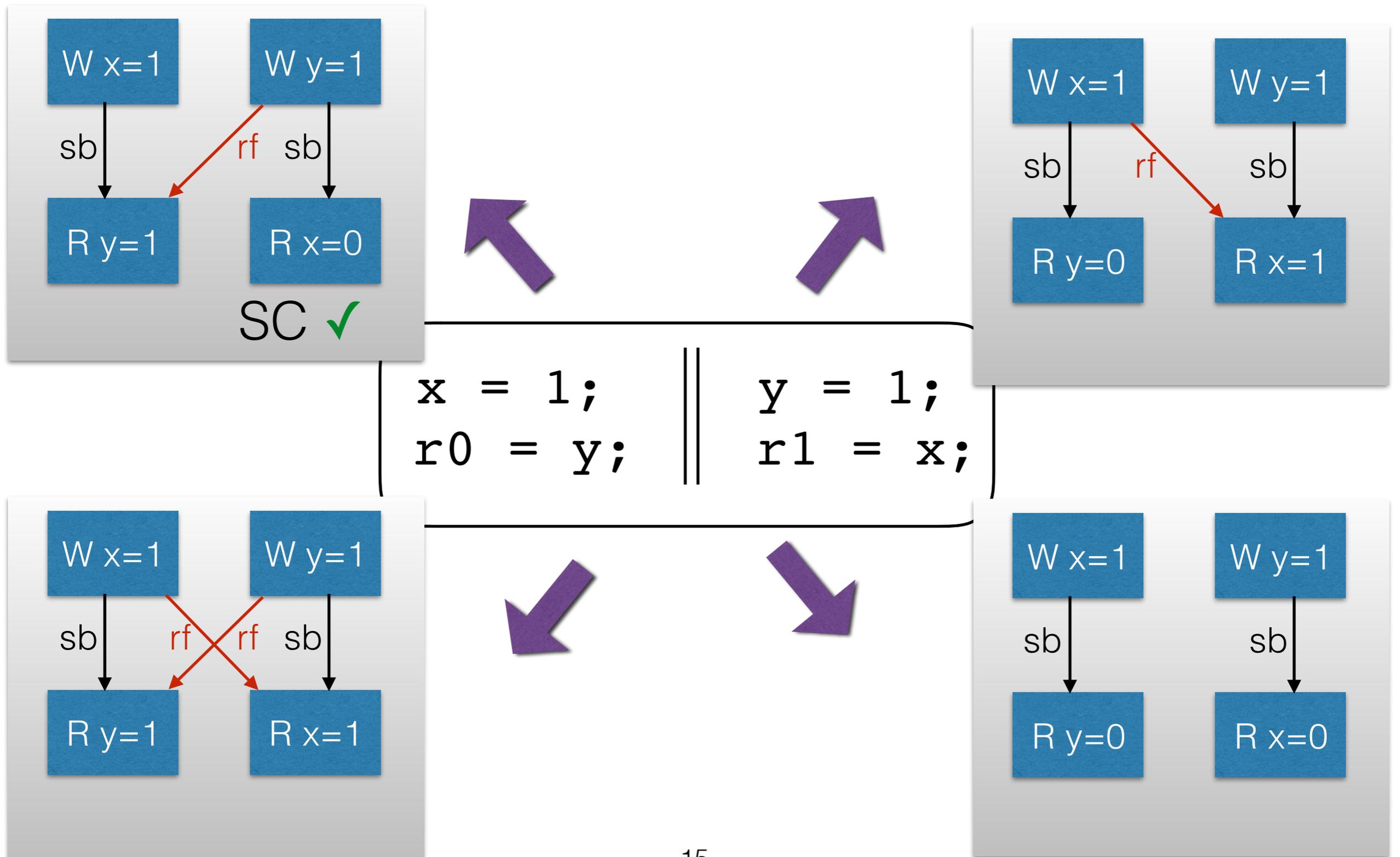
Axiomatic models



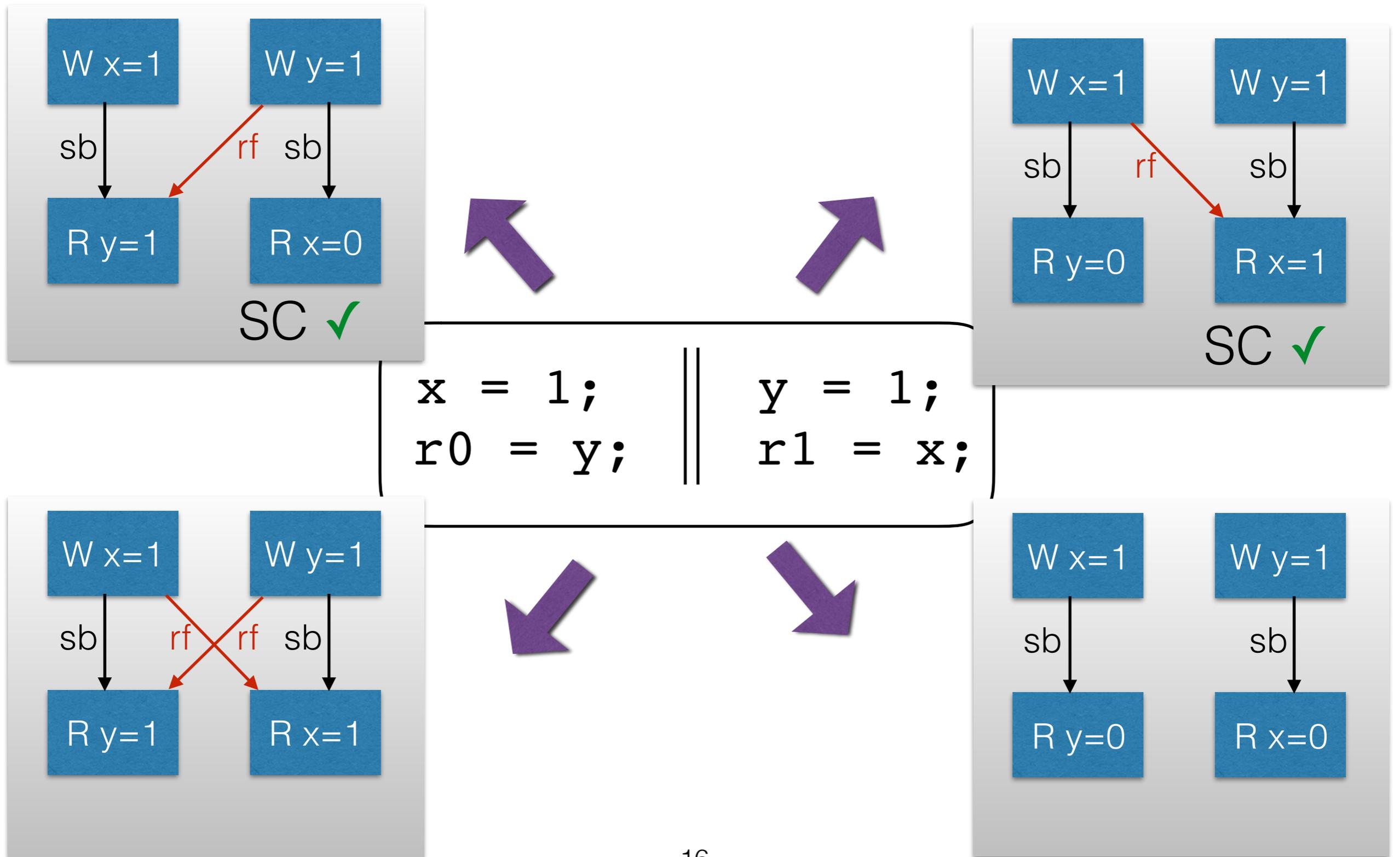
Axiomatic models



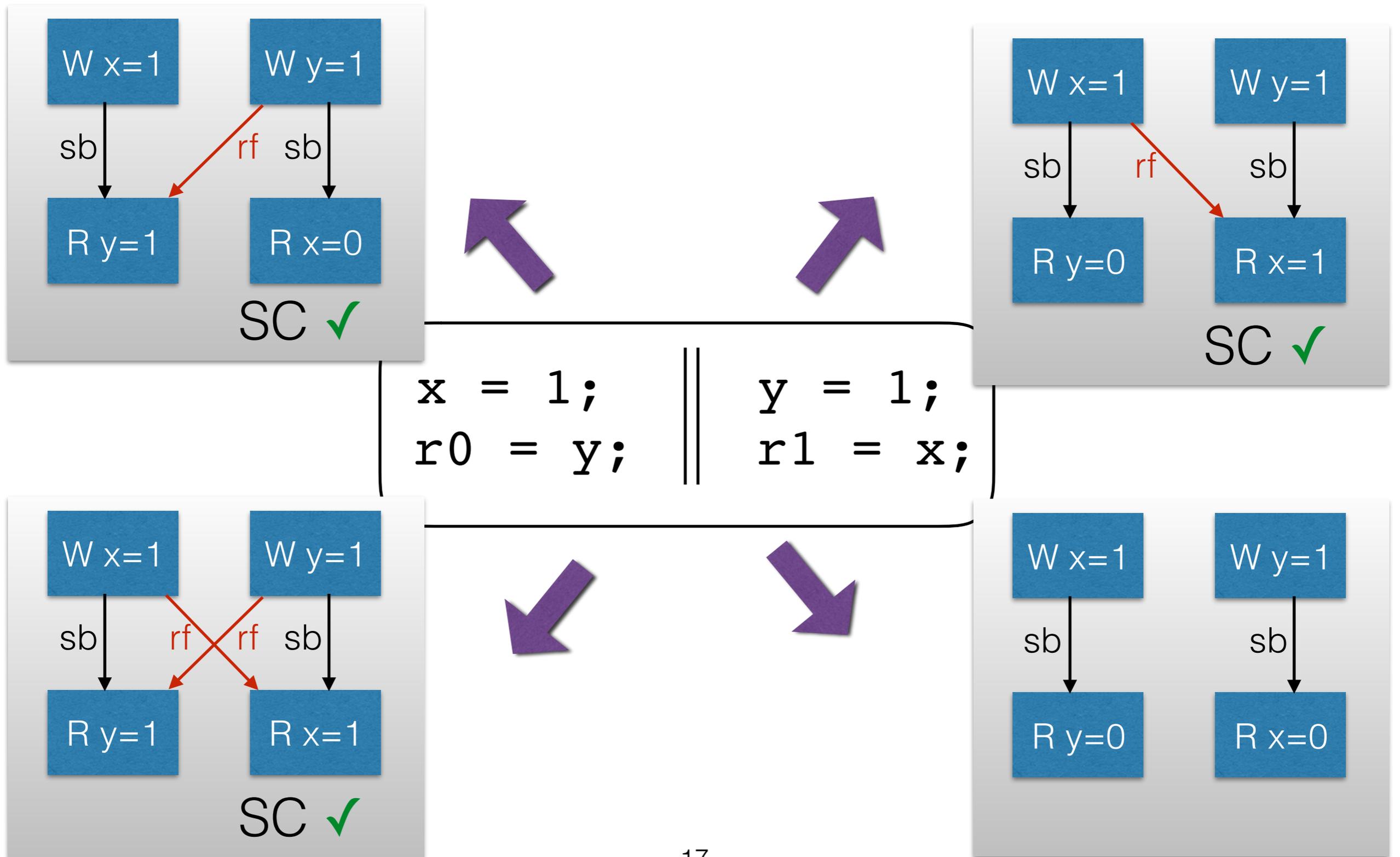
Axiomatic models



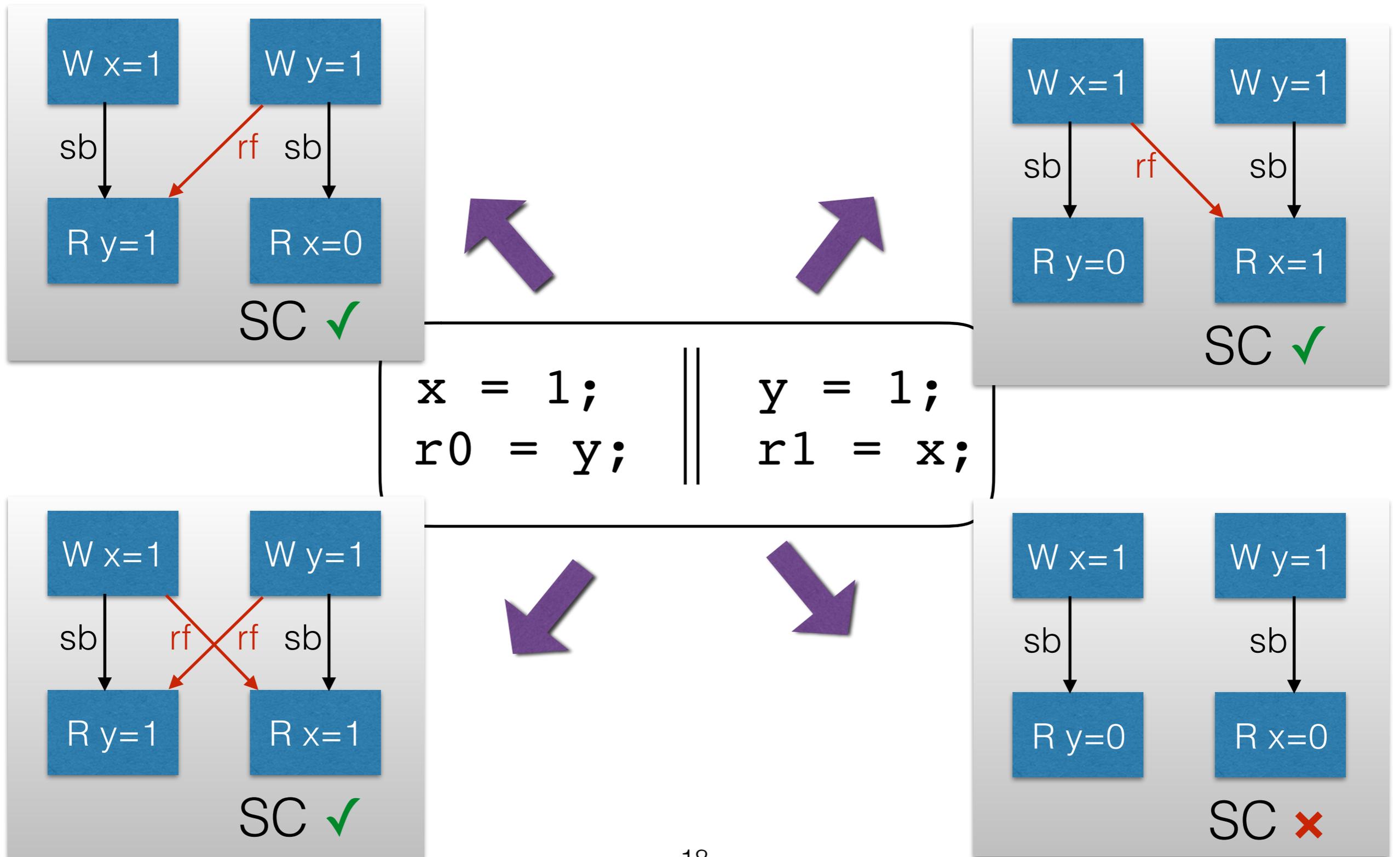
Axiomatic models



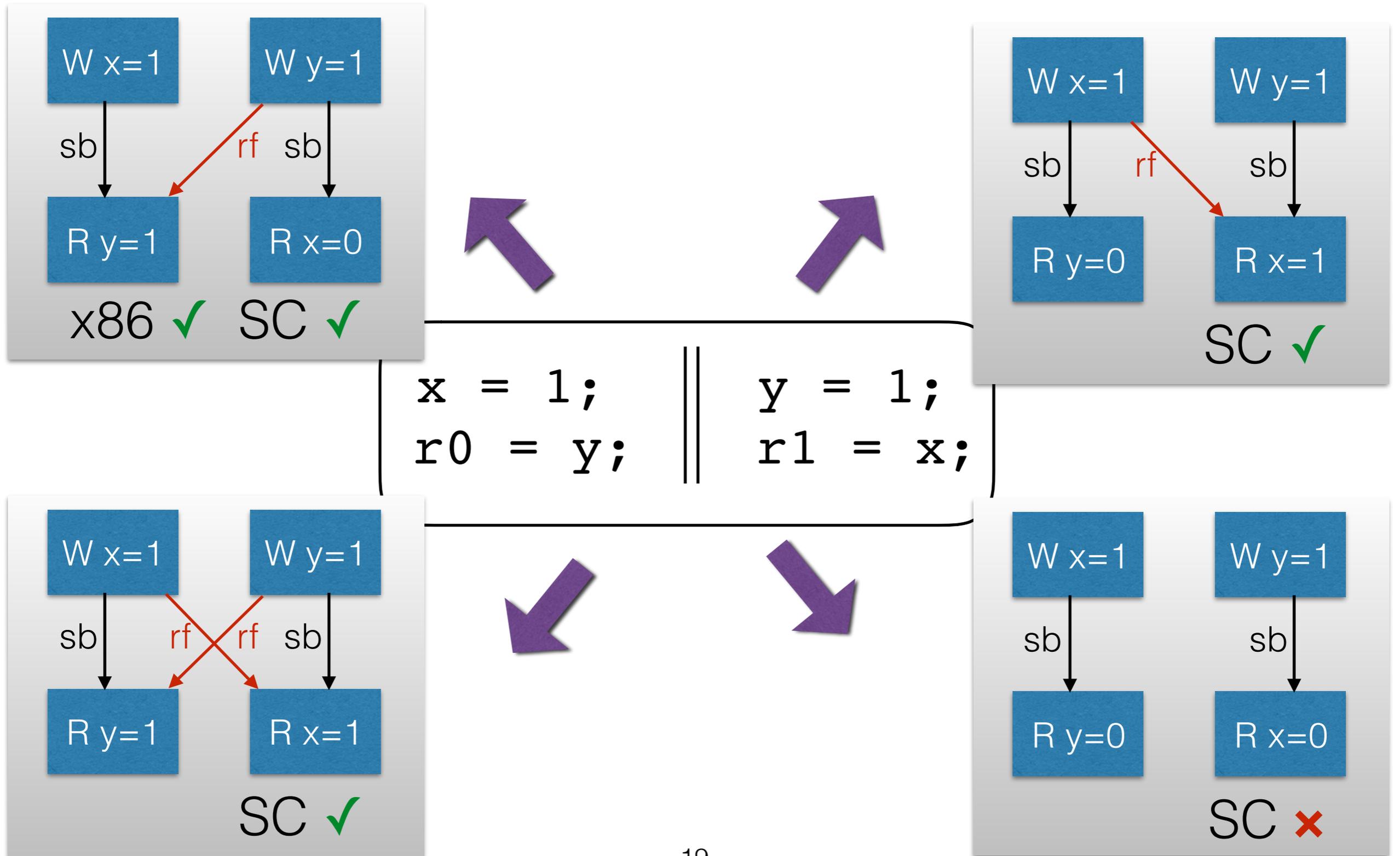
Axiomatic models



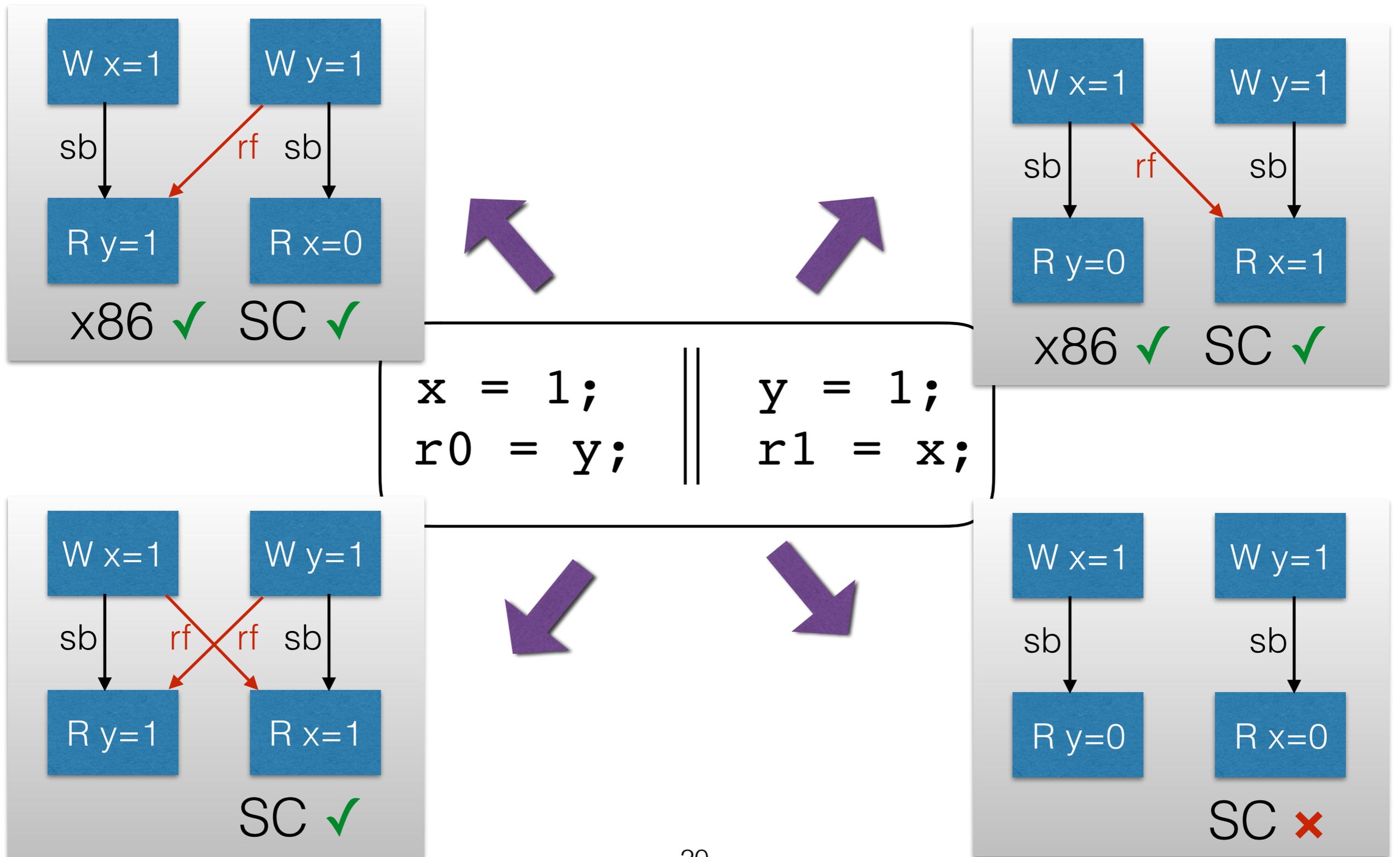
Axiomatic models



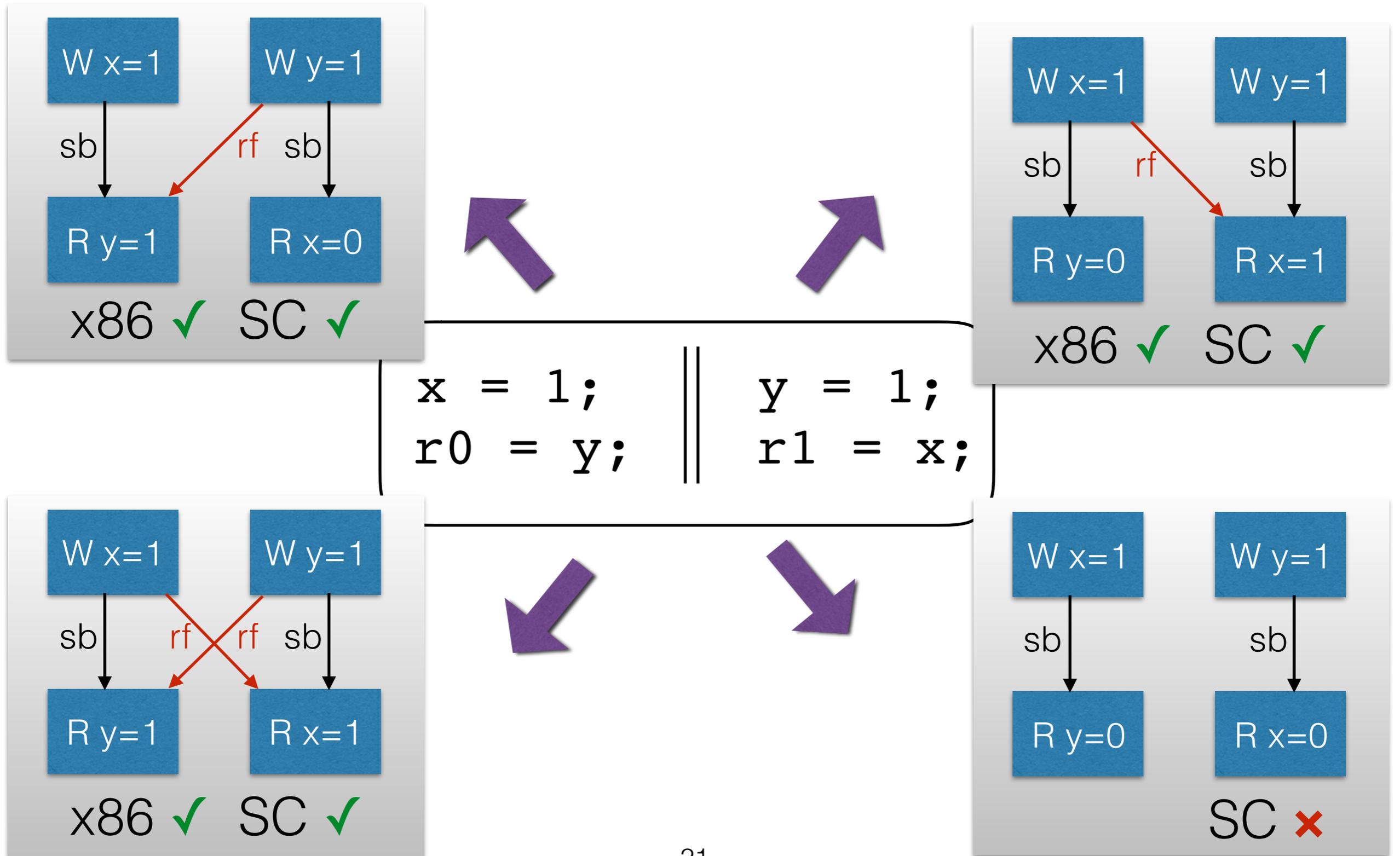
Axiomatic models



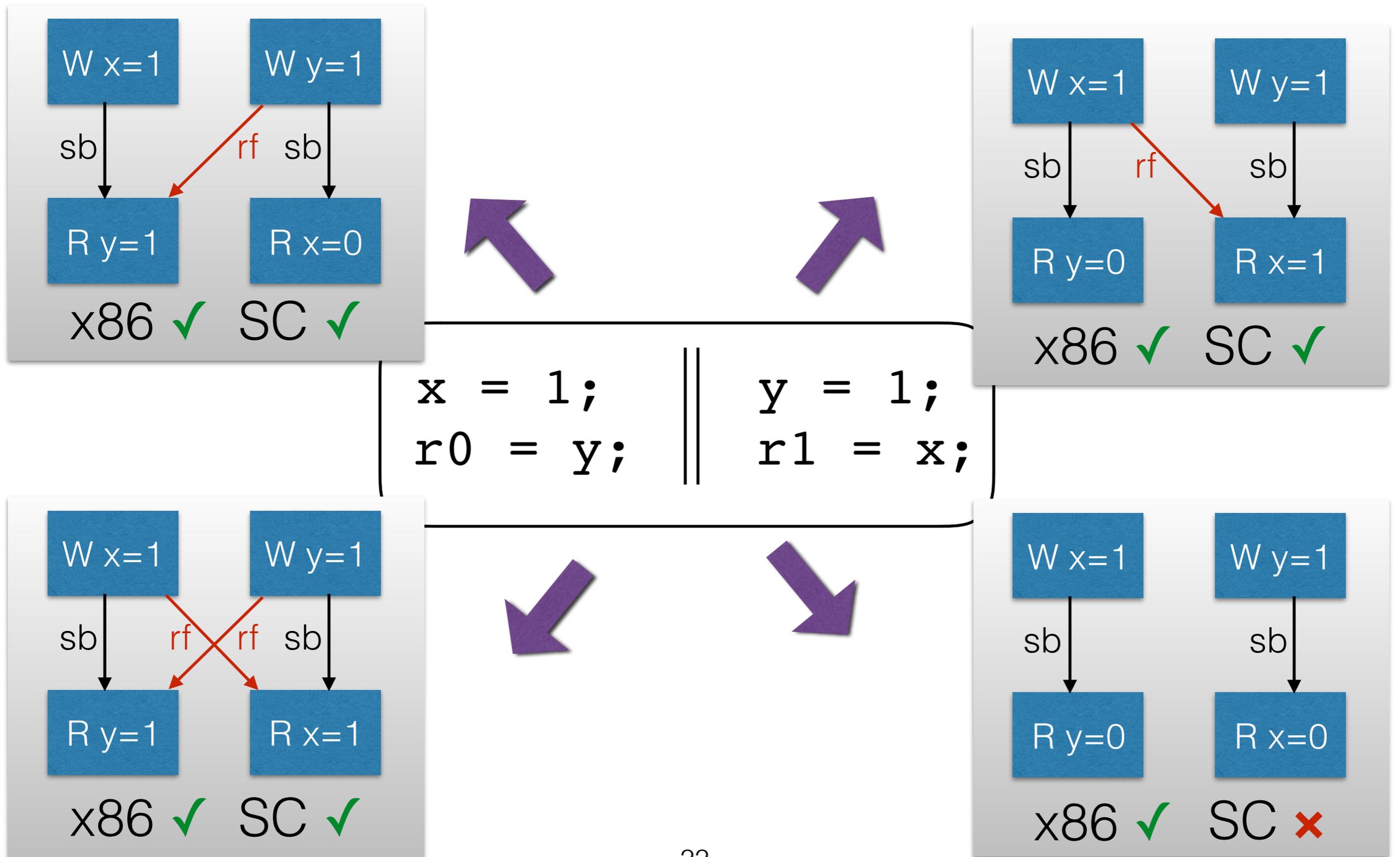
Axiomatic models



Axiomatic models



Axiomatic models



Contents

- Context: memory consistency models (MCMs)

➔ Where our work fits in

- Key Ideas
- Applications

Some challenges and current approaches to tackling them

Question	Existing work

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10];

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10];
Is one MCM more permissive than another?	

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10];
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd;

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10];
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd;
Does my MCM allow a given compiler optimisation?	

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10];
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd;
Does my MCM allow a given compiler optimisation?	manual c'examples [Vafeiaidis+ POPL'15]; manual proof [Sevcik PLDI'11];

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10];
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd;
Does my MCM allow a given compiler optimisation?	manual c'examples [Vafeiaidis+ POPL'15]; manual proof [Sevcik PLDI'11];
Does my MCM allow a given compiler mapping?	

Some challenges and current approaches to tackling them

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10];
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd;
Does my MCM allow a given compiler optimisation?	manual c'examples [Vafeiaidis+ POPL'15]; manual proof [Sevcik PLDI'11];
Does my MCM allow a given compiler mapping?	manual c'examples [Wickerson+ OOPSLA'15]; manual proof [Batty+ POPL'11, Batty+ POPL'12];

Our contributions

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10];
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd;
Does my MCM allow a given compiler optimisation?	manual c'examples [Vafeiaidis+ POPL'15]; manual proof [Sevcik PLDI'11];
Does my MCM allow a given compiler mapping?	manual c'examples [Wickerson+ OOPSLA'15]; manual proof [Batty+ POPL'11, Batty+ POPL'12];

Our contributions

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10]; automatic generation
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd;
Does my MCM allow a given compiler optimisation?	manual c'examples [Vafeiaidis+ POPL'15]; manual proof [Sevcik PLDI'11];
Does my MCM allow a given compiler mapping?	manual c'examples [Wickerson+ OOPSLA'15]; manual proof [Batty+ POPL'11, Batty+ POPL'12];

Our contributions

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10]; automatic generation
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd; automatic checking
Does my MCM allow a given compiler optimisation?	manual c'examples [Vafeiaidis+ POPL'15]; manual proof [Sevcik PLDI'11];
Does my MCM allow a given compiler mapping?	manual c'examples [Wickerson+ OOPSLA'15]; manual proof [Batty+ POPL'11, Batty+ POPL'12];

Our contributions

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10]; automatic generation
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd; automatic checking
Does my MCM allow a given compiler optimisation?	manual c'examples [Vafeiaidis+ POPL'15]; manual proof [Sevcik PLDI'11]; automatic checking
Does my MCM allow a given compiler mapping?	manual c'examples [Wickerson+ OOPSLA'15]; manual proof [Batty+ POPL'11, Batty+ POPL'12];

Our contributions

Question	Existing work
Can a given litmus test pass under a given MCM?	CppMem, Herd, MemSAT, Nemos, ...
Which litmus tests can be run to check whether a machine conforms to a given MCM?	semi-automatic generation with DIY [Alglave+ CAV'10]; automatic generation
Is one MCM more permissive than another?	manual proof; manual examples [Batty+ POPL'16]; semi-automatic checking with DIY+Herd; automatic checking
Does my MCM allow a given compiler optimisation?	manual c'examples [Vafeiaidis+ POPL'15]; manual proof [Sevcik PLDI'11]; automatic checking
Does my MCM allow a given compiler mapping?	manual c'examples [Wickerson+ OOPSLA'15]; manual proof [Batty+ POPL'11, Batty+ POPL'12]; automatic checking

Contents

- Context: memory consistency models (MCMs)
- Where our work fits in

Key Ideas

- Applications

Key Idea 1

Key Idea 1

- What are **M**'s conformance tests?
Find (P, σ) where $\sigma \notin \text{obs}_M(P)$ and $\sigma \in \text{obs}_0(P)$.

Key Idea 1

- What are **M**'s conformance tests?
Find (P, σ) where $\sigma \notin \text{obs}_M(P)$ and $\sigma \in \text{obs}_0(P)$.
- Is **M** stronger than **N**?
No if $\exists(P, \sigma)$ where $\sigma \notin \text{obs}_N(P)$ and $\sigma \in \text{obs}_M(P)$.

Key Idea 1

- What are **M**'s conformance tests?
Find (P, σ) where $\sigma \notin \text{obs}_M(P)$ and $\sigma \in \text{obs}_0(P)$.
- Is **M** stronger than **N**?
No if $\exists(P, \sigma)$ where $\sigma \notin \text{obs}_N(P)$ and $\sigma \in \text{obs}_M(P)$.
- Does **M** allow my optimisation?
No if $\exists(P, Q, \sigma)$ where $\sigma \notin \text{obs}_M(P)$, $\sigma \in \text{obs}_M(Q)$ and P optimises to Q .

Key Idea 1

- What are **M**'s conformance tests?
Find (P, σ) where $\sigma \notin \text{obs}_{\mathbf{M}}(P)$ and $\sigma \in \text{obs}_{\mathbf{0}}(P)$.
- Is **M** stronger than **N**?
No if $\exists(P, \sigma)$ where $\sigma \notin \text{obs}_{\mathbf{N}}(P)$ and $\sigma \in \text{obs}_{\mathbf{M}}(P)$.
- Does **M** allow my optimisation?
No if $\exists(P, Q, \sigma)$ where $\sigma \notin \text{obs}_{\mathbf{M}}(P)$, $\sigma \in \text{obs}_{\mathbf{M}}(Q)$ and P optimises to Q .
- Can **M** be implemented by my mapping to **N**?
No if $\exists(P, Q, \sigma)$ where $\sigma \notin \text{obs}_{\mathbf{M}}(P)$, $\sigma \in \text{obs}_{\mathbf{N}}(Q)$ and P compiles to Q .

Key Idea 1

- What are **M**'s conformance tests?
Find (P, σ) where $\sigma \notin \text{obs}_{\mathbf{M}}(P)$ and $\sigma \in \text{obs}_{\mathbf{O}}(P)$.
- Is **M** stronger than **N**?
No if $\exists(P, \sigma)$ where $\sigma \notin \text{obs}_{\mathbf{N}}(P)$ and $\sigma \in \text{obs}_{\mathbf{M}}(P)$.
- Does **M** allow my optimisation?
No if $\exists(P, Q, \sigma)$ where $\sigma \notin \text{obs}_{\mathbf{M}}(P)$, $\sigma \in \text{obs}_{\mathbf{M}}(Q)$ and P optimises to Q .
- Can **M** be implemented by my mapping to **N**?
No if $\exists(P, Q, \sigma)$ where $\sigma \notin \text{obs}_{\mathbf{M}}(P)$, $\sigma \in \text{obs}_{\mathbf{N}}(Q)$ and P compiles to Q .

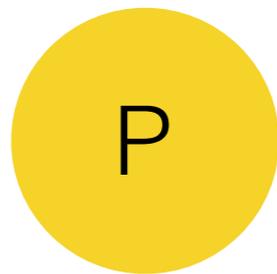
$$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_{\mathbf{M}}(P) \wedge \sigma \in \text{obs}_{\mathbf{N}}(Q) \wedge P \blacktriangleright Q\}$$

Key Idea 2

$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$

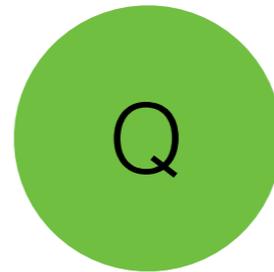
Key Idea 2

$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$



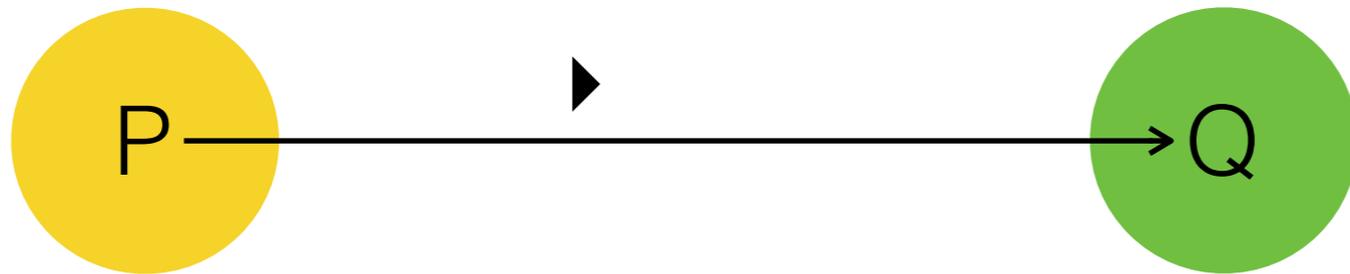
Key Idea 2

$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$



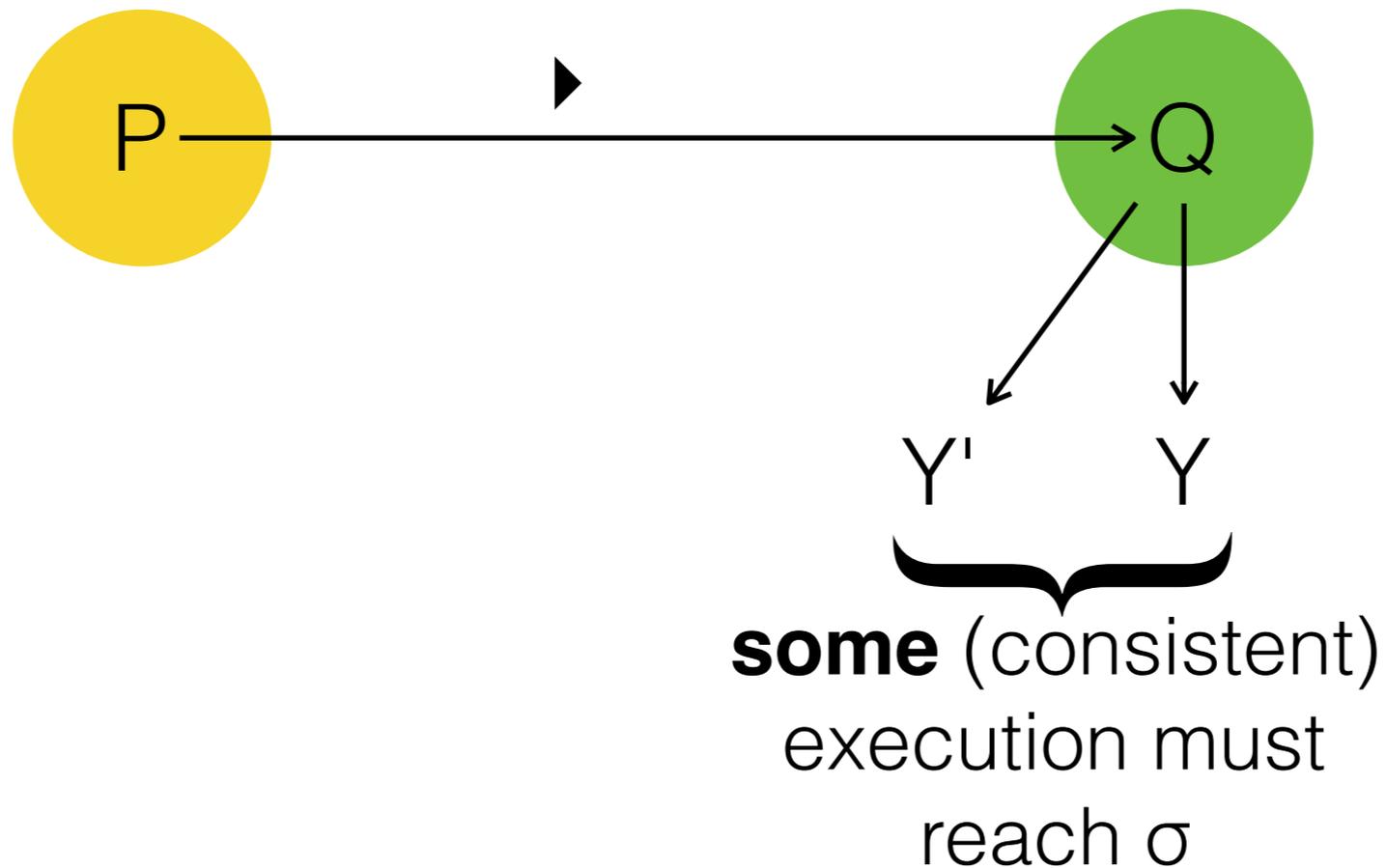
Key Idea 2

$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$



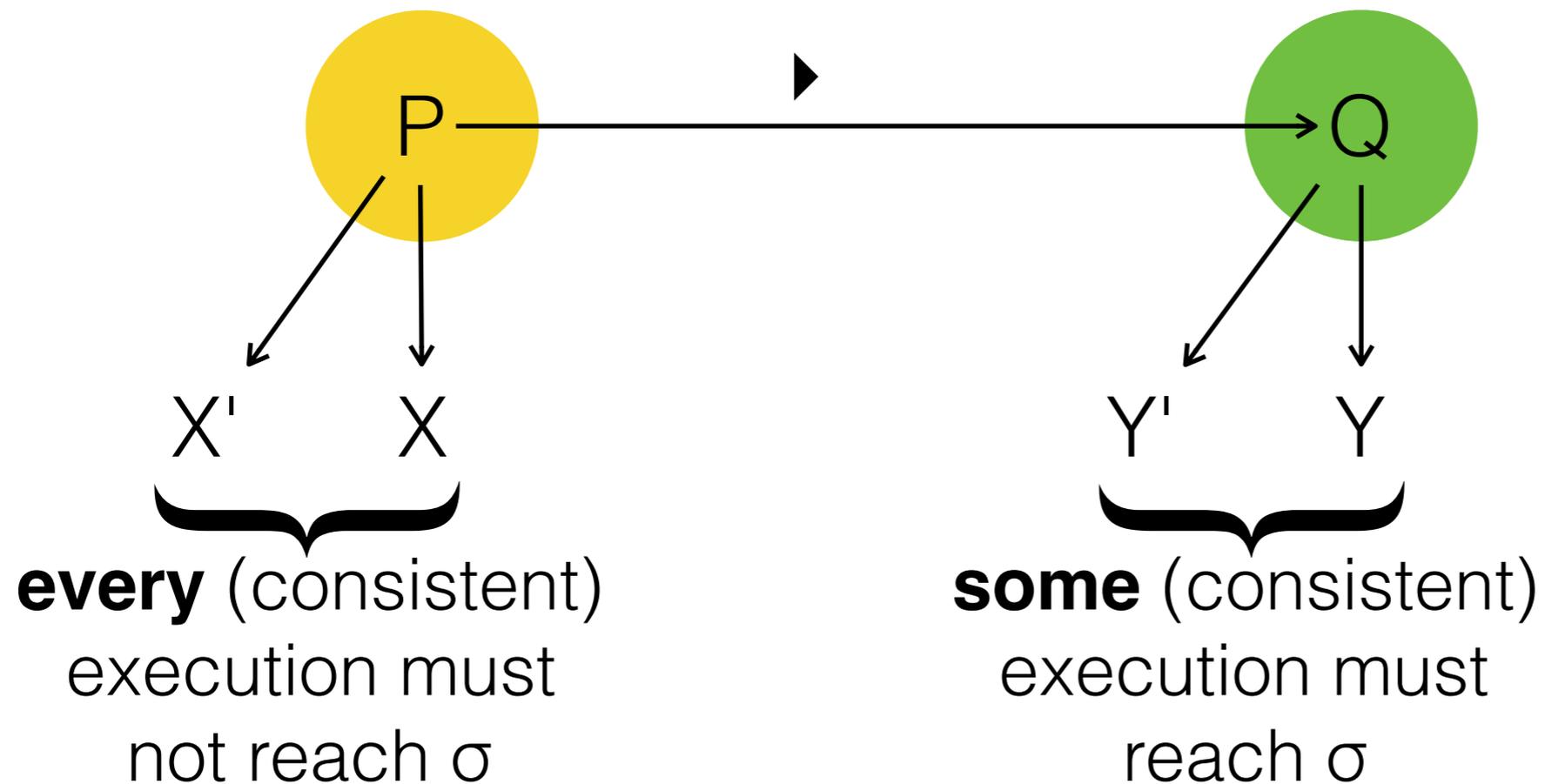
Key Idea 2

$$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$$



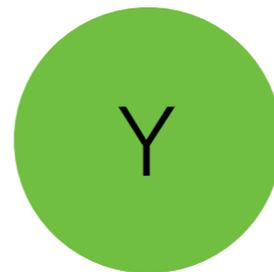
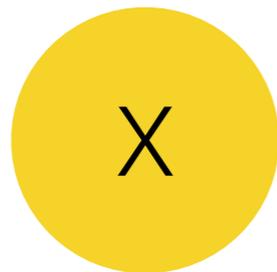
Key Idea 2

$$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$$



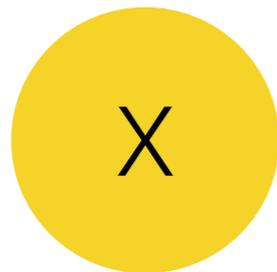
Key Idea 2

$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$



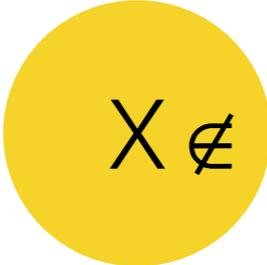
Key Idea 2

$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$



Key Idea 2

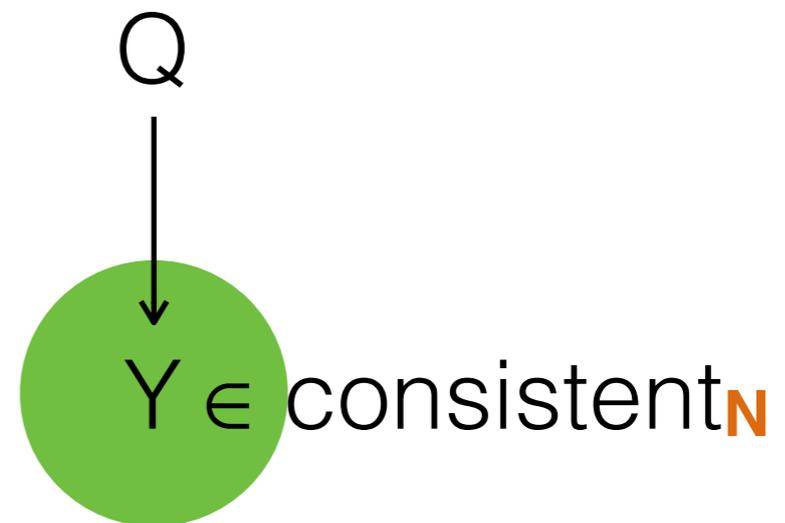
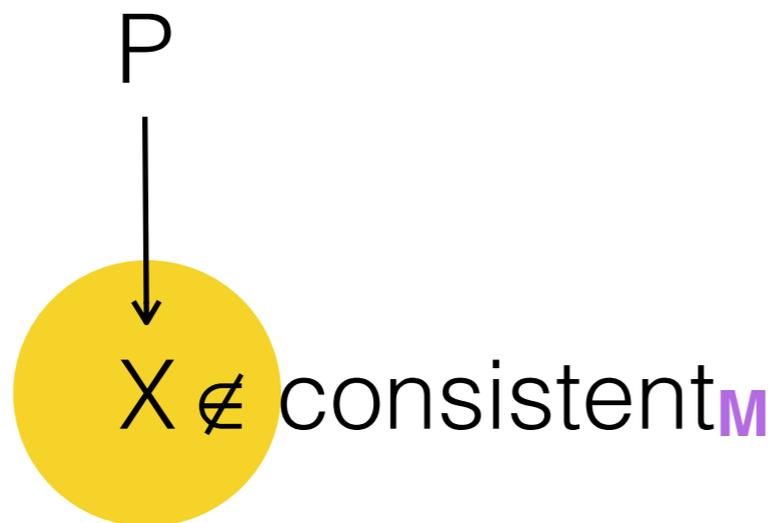
$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$

 $X \notin \text{consistent}_M$

 $Y \in \text{consistent}_N$

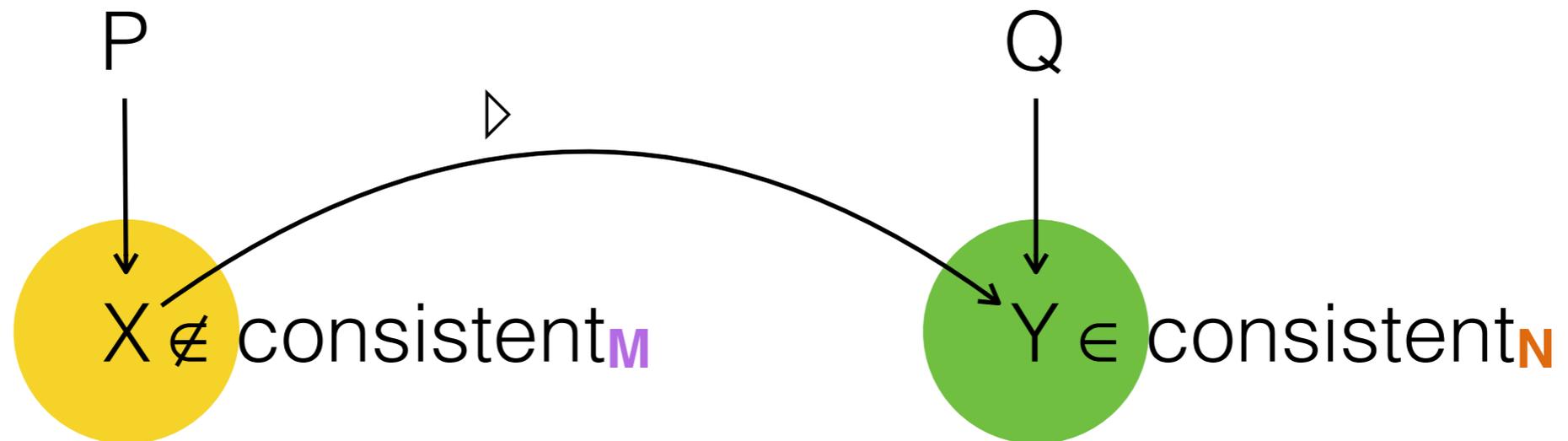
Key Idea 2

$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$



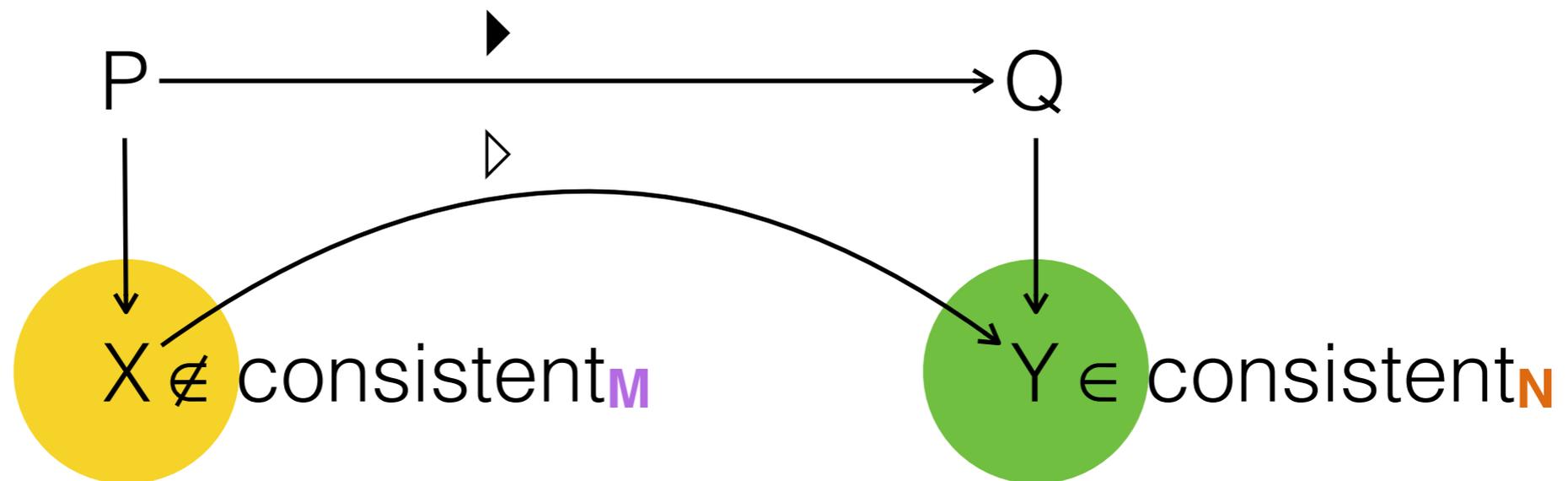
Key Idea 2

$$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \triangleright Q\}$$



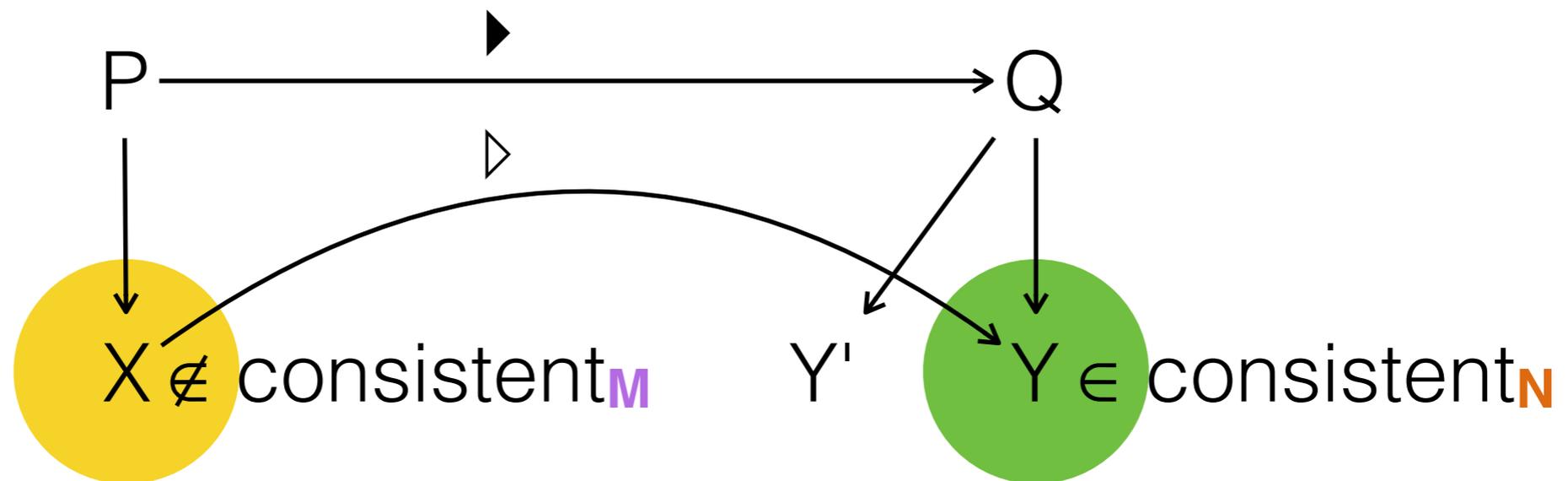
Key Idea 2

$$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$$



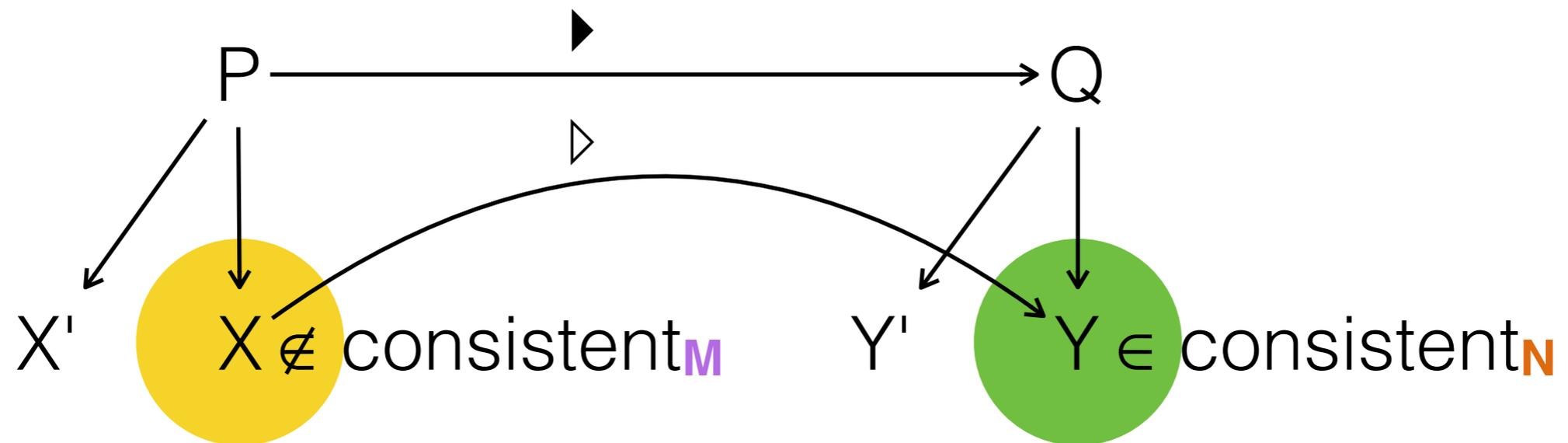
Key Idea 2

$$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$$



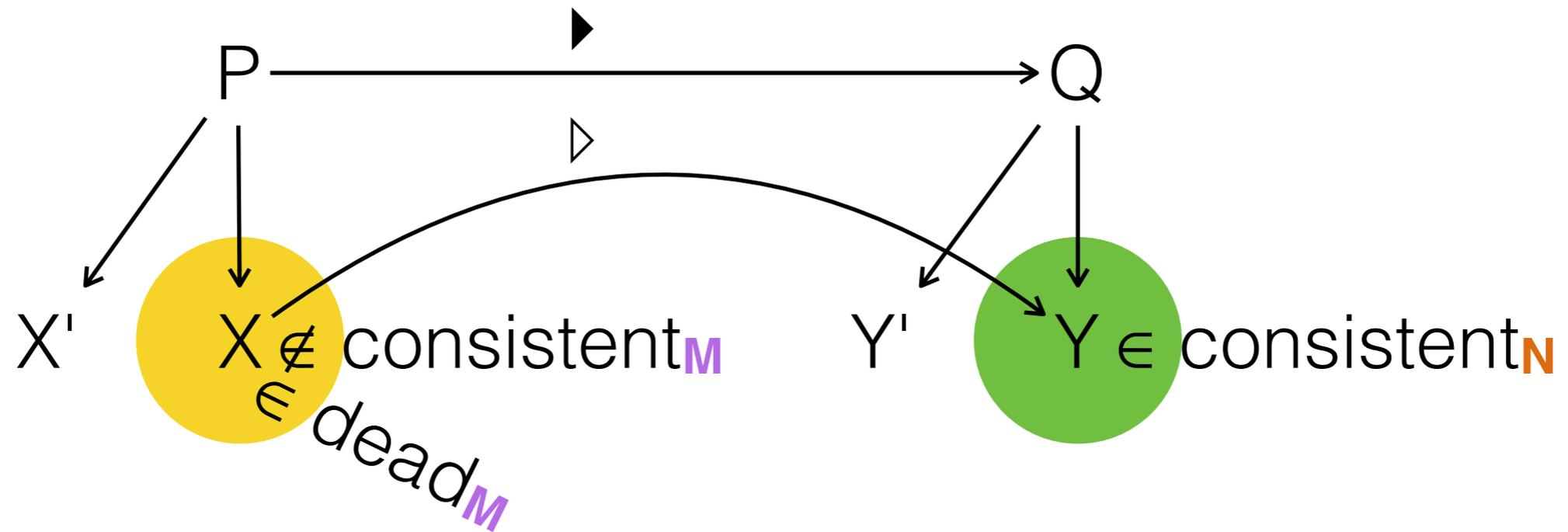
Key Idea 2

$$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$$



Key Idea 2

$$\{(P, Q, \sigma) \mid \sigma \notin \text{obs}_M(P) \wedge \sigma \in \text{obs}_N(Q) \wedge P \blacktriangleright Q\}$$



The Alloy Constraint Solver

```

/Users/jpw48/git/memalloy/models/tests/Q2_c11_sra_simp/question.als
Alloy Analyzer 4.2.? (build date: unknown)
Executing "Run gp for 3 int, 1 Exec, 5 E"
Solver=glucose(jni) Bitwidth=3 MaxSeq=3 Symmetry=20
13526 vars. 258 primary vars. 43898 clauses. 1466ms.
Instance found. Predicate is consistent. 756ms.

```

```

open ../../sw/c11_nafence[E] as M1
open ../../sw/c11_simp[E] as M2

sig E {}

pred gp [X : Exec_C] {
  // Prefer solutions with total sb per thread
  total_sb[X]

  // ignore RMWs
  no_RMWs[X]

  // The execution is forbidden in M1
  not(M1/consistent[X])
  //M1/dead[X]

  // The execution is allowed (and not faulty)
  M2/consistent[X]
}

run gp for 1 Exec, 5 E, 3 Int

```

(question) Run gp for 3 int, 1 Exec, 5 E

Projected over M1/c11_nafence_base/exec_C/exec/Exec

Viz Txt Tree Theme Magic Layout Evaluator Next

rf: 2
sb: 4
sloc: 8
sthd: 13

```

graph TD
    E0["E0 (ev, W)"]
    E1["E1 (ev, W)"]
    E2["E2 (A, ev, R)"]
    E3["E3 (A, ev, F, rel)"]
    E4["E4 (A, acq, ev, R, sc)"]

    E0 -- sloc --> E0
    E1 -- sloc --> E1
    E2 -- sloc --> E2
    E3 -- sloc --> E3
    E4 -- sloc --> E4

    E0 -- sb --> E1
    E1 -- sb --> E0
    E0 -- sb --> E2
    E2 -- sb --> E0
    E1 -- sb --> E3
    E3 -- sb --> E1
    E2 -- sb --> E4
    E4 -- sb --> E2
    E0 -- rfsloc --> E2
    E2 -- rf_sloc --> E0
    E1 -- rfsloc --> E3
    E3 -- rf_sloc --> E1
    E2 -- sthd --> E3
    E3 -- sthd --> E2
    E4 -- sthd --> E0
    E0 -- sthd --> E4

```

M1/c11_nafence_base/exec_C/Exec_C\$0

Contents

- Context: memory consistency models (MCMs)
- Where our work fits in
- Key Ideas

 Applications

Comparing "strong release-acquire" to original release-acquire

Taming Release-Acquire Consistency

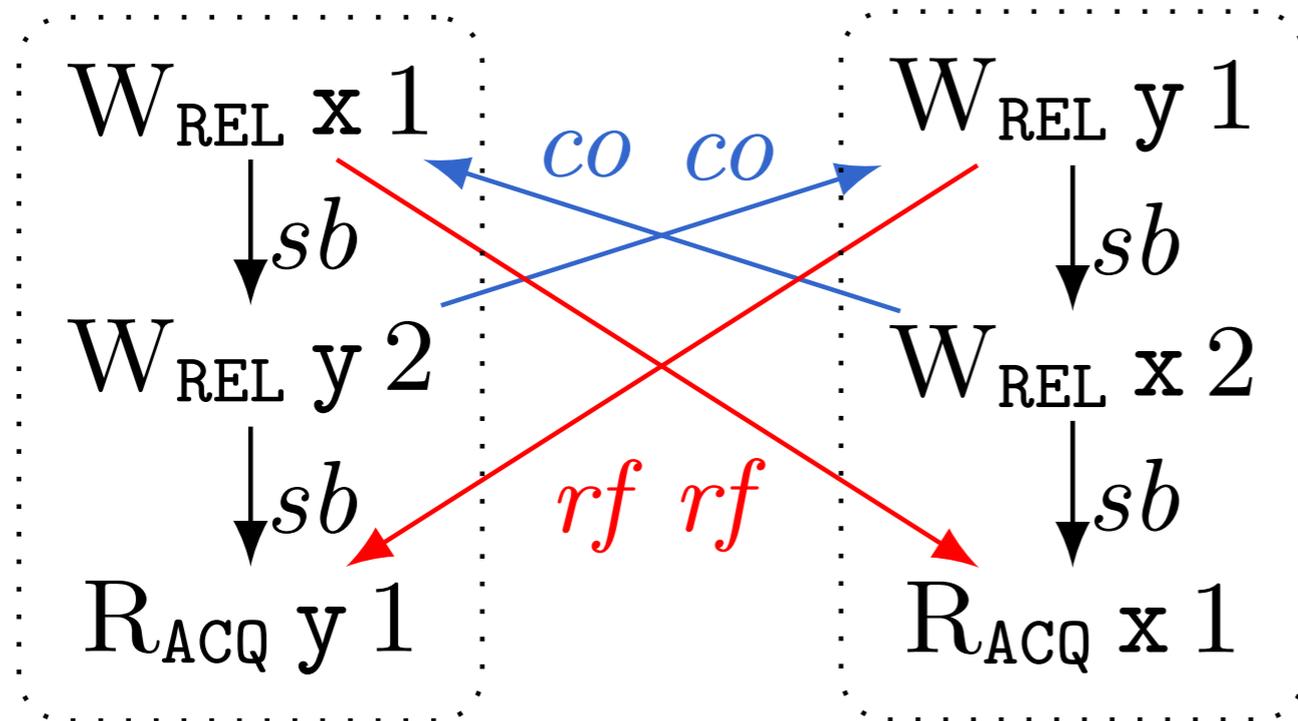
Ori Lahav

Nick Giannarakis

Viktor Vafeiadis

Institute for Software Systems (MPI-SWS), Germany

Comparing "strong release-acquire" to original release-acquire



```

atomic_int x=0,y=0;
x.store(1,REL);
y.store(2,REL);
r0=y.load(ACQ);
=====
y.store(1,REL);
x.store(2,REL);
r1=x.load(ACQ);
r0==1 && r1==1
    
```

Taming Release-Acquire Consistency

Ori Lahav

Nick Giannarakis

Viktor Vafeiadis

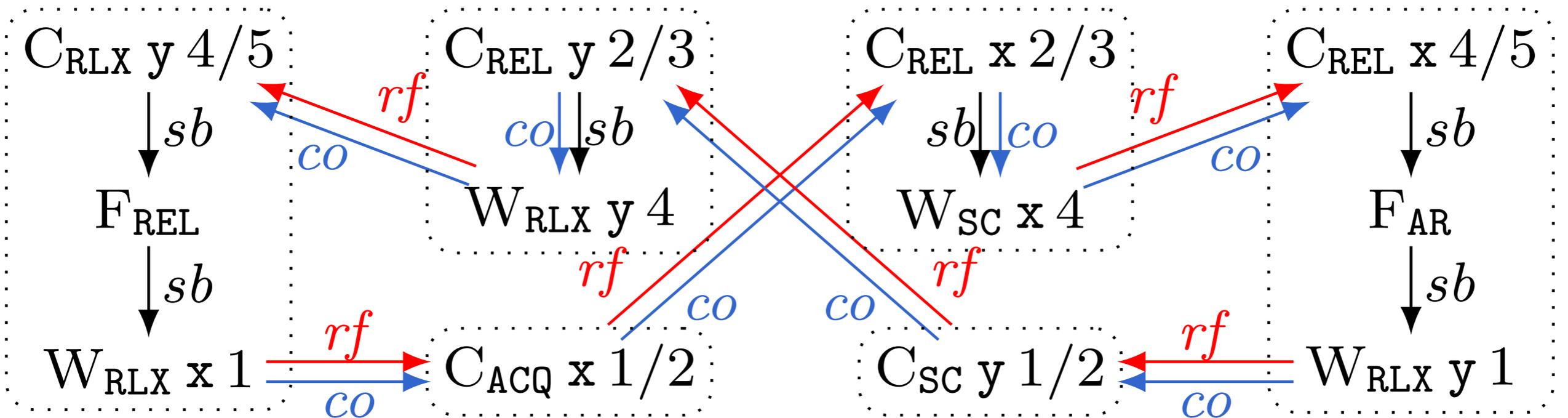
Institute for Software Systems (MPI-SWS), Germany

Comparing Nienhuis et al.'s C++ variant to the original

- Cf. Nienhuis et al. (OOPSLA '16):

Comparing Nienhuis et al.'s C++ variant to the original

- Cf. Nienhuis et al. (OOPSLA '16):



Comparing Batty et al.'s C++ variant to the original

Overhauling SC Atomics in C11 and OpenCL

Mark Batty

University of Kent, UK
m.j.batty@kent.ac.uk

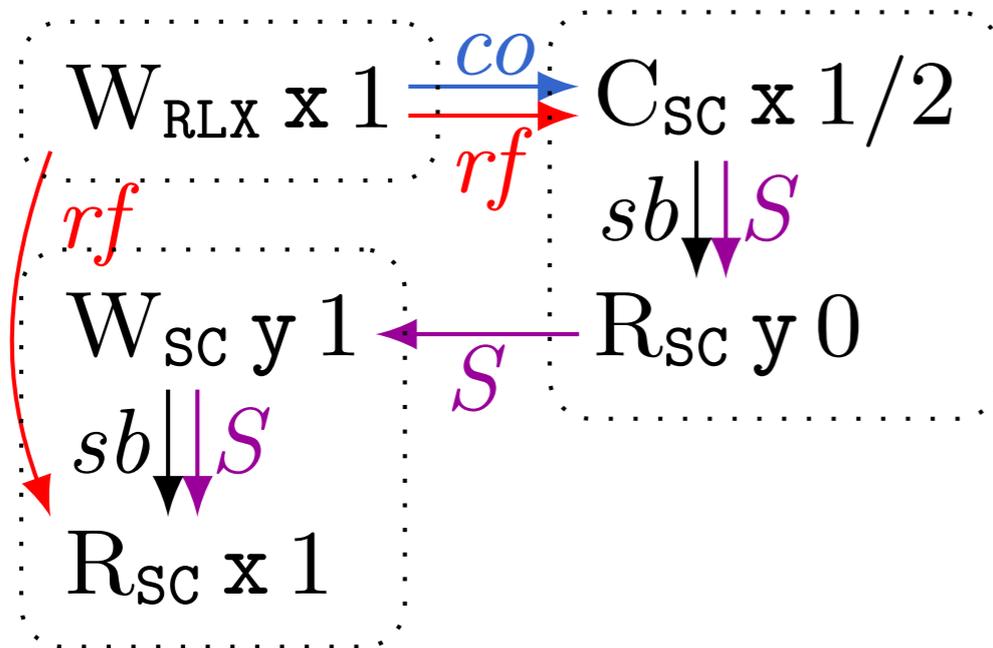
Alastair F. Donaldson

Imperial College London, UK
alastair.donaldson@imperial.ac.uk

John Wickerson

Imperial College London, UK
j.wickerson@imperial.ac.uk

Comparing Batty et al.'s C++ variant to the original



```

atomic_int x=0,y=0;
x.store(1,RLX);
=====
r0=x.cas(1,2,SC,RLX);
r1=y.load(SC);
=====
y.store(1,SC);
r2=x.load(SC);
r0==true && r1==0 && r2==1
    
```

Overhauling SC Atomics in C11 and OpenCL

Mark Batty

University of Kent, UK
m.j.batty@kent.ac.uk

Alastair F. Donaldson

Imperial College London, UK
alastair.donaldson@imperial.ac.uk

John Wickerson

Imperial College London, UK
j.wickerson@imperial.ac.uk

Does C++ allow "linearisation"?

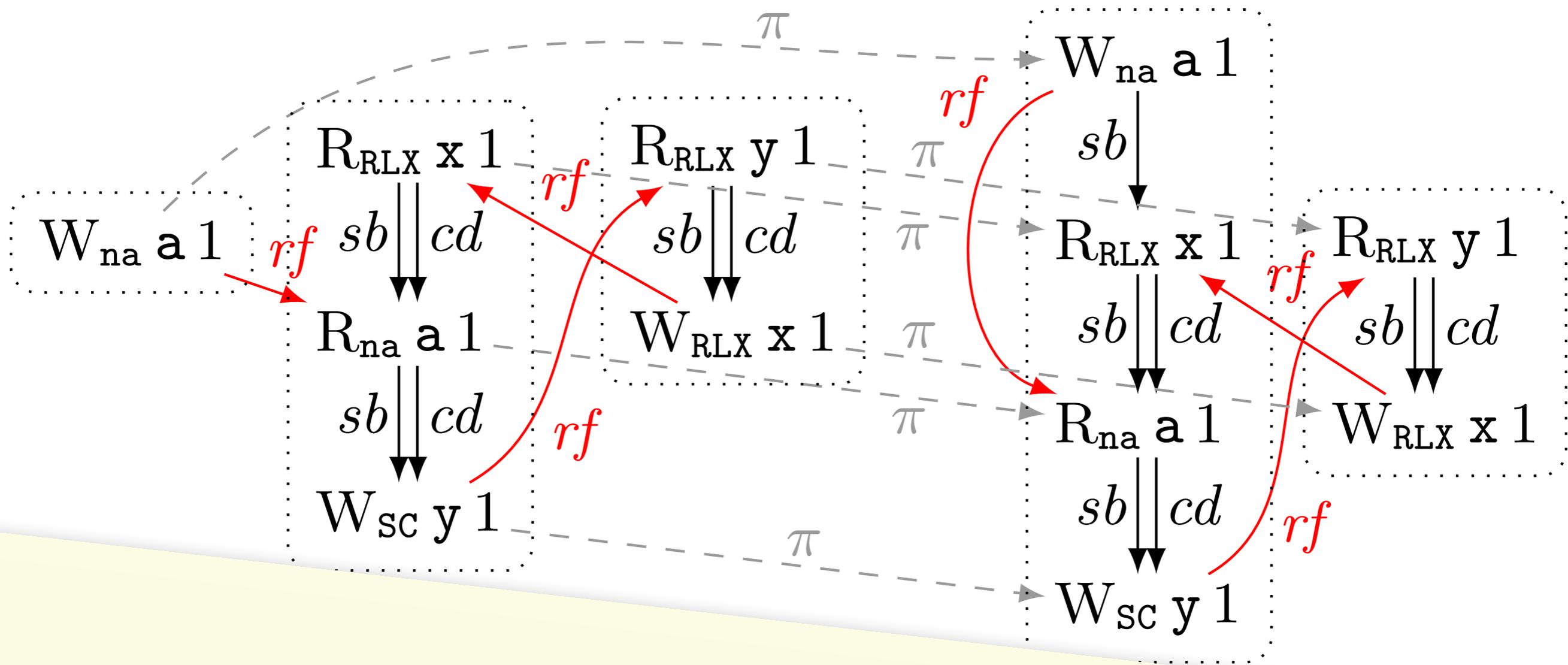
**Common Compiler Optimisations are Invalid
in the C11 Memory Model and what we can do about it**

Viktor Vafeiadis
MPI-SWS

Thibaut Balabonski
INRIA

Soham Chakravarty

Does C++ allow "linearisation"?



**Common Compiler Optimisations are Invalid
in the C11 Memory Model and what we can do about it**

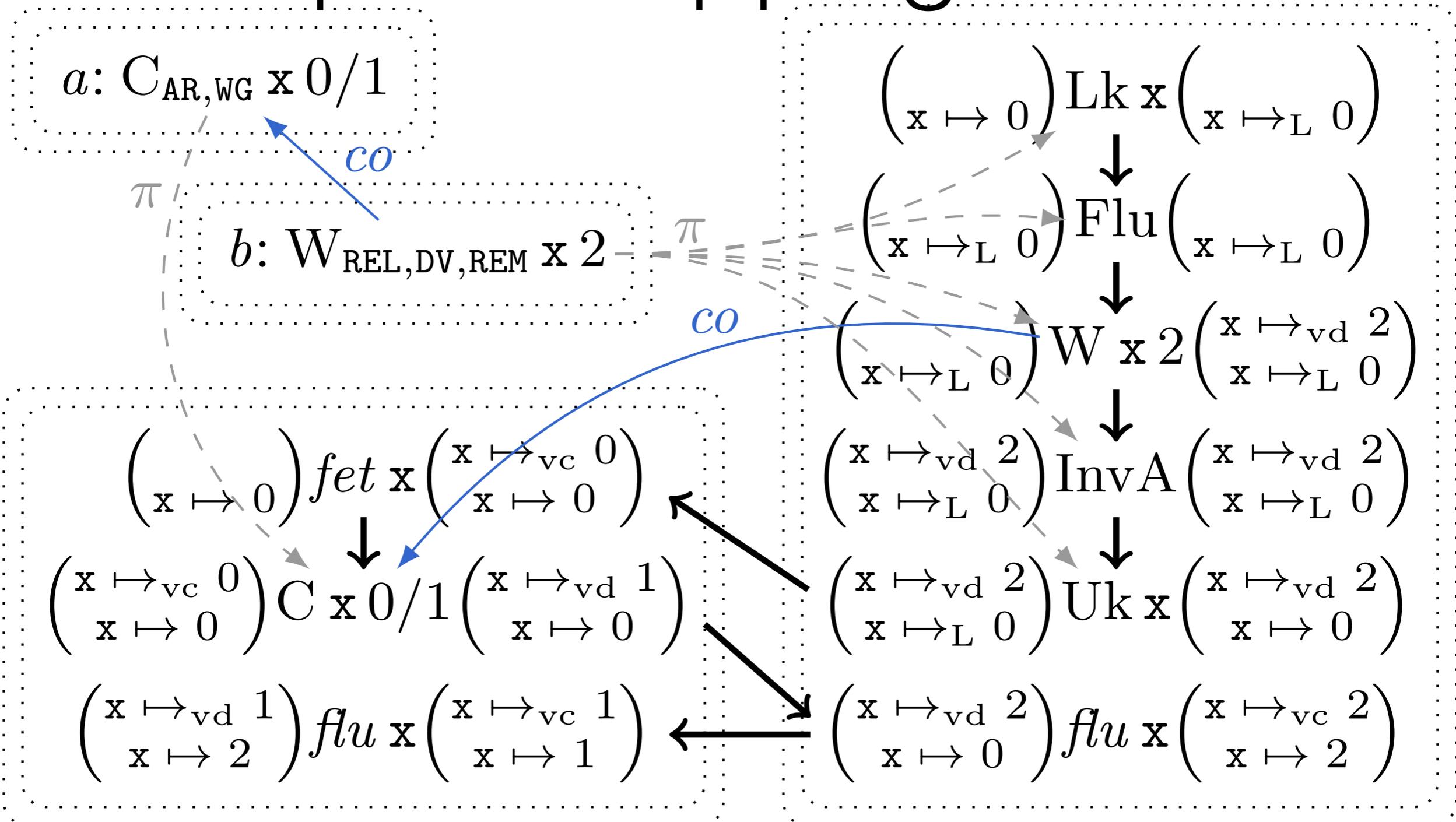
Viktor Vafeiadis
MPI-SWS

Thibaut Balabonski
INRIA

Soham Chakraborty

Is AMD's OpenCL
compiler mapping sound?

Is AMD's OpenCL compiler mapping sound?

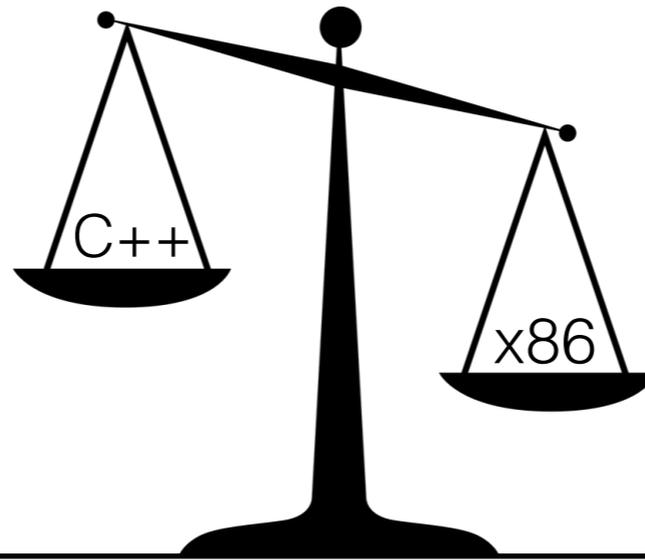


Checking and fixing an OpenCL/PTX compiler mapping

- PTX MCM proposed by Alglave et al. (ASPLOS '15)
- "Obvious" OpenCL/PTX mapping is invalid
- Manually revise PTX MCM (to obtain "PTX2")
- Now mapping is valid
- Run litmus tests that distinguish PTX/PTX2 against GPU hardware to validate PTX2

Contents

- Context: memory consistency models (MCMs)
- Where our work fits in
- Key Ideas
- Applications



Automatically Comparing Memory Consistency Models

John Wickerson

Imperial

Mark Batty

U Kent

Tyler Sorensen

Imperial

George A. Constantinides

Imperial

Newcastle University
Wednesday 31 August 2016